

HELMHOLTZ  
MUNICH

AIH Institute of AI for Health

## **Geometrical–Topological Loss Terms for Shape Analysis**

Bastian Rieck (@Pseudomanifold)

Shape analysis is crucial, not only for biomedical applications.

*Data has shape, shape has meaning, and meaning drives understanding.*

*(Paraphrasing Gunnar Carlsson)*

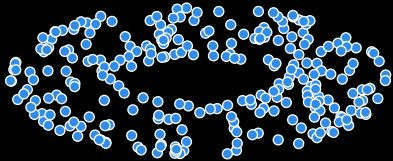
# Shape analysis for meshes



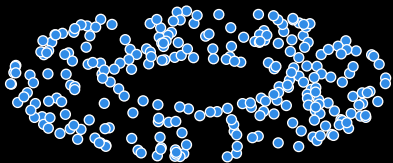
# Shape analysis for meshes



# Reality is often messy...

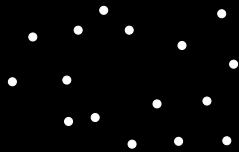


Reality is often messy...



# Calculating simplicial complexes from data

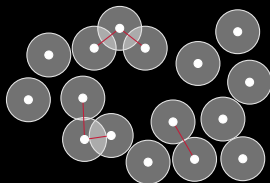
Vietoris–Rips complex



$$\mathcal{V}_\epsilon := \{ \{x_1, x_2, \dots\} \mid \text{dist}(x_i, x_j) \leq \epsilon \text{ for all } i \neq j \}$$

# Calculating simplicial complexes from data

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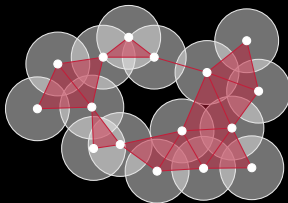


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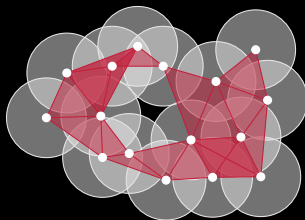
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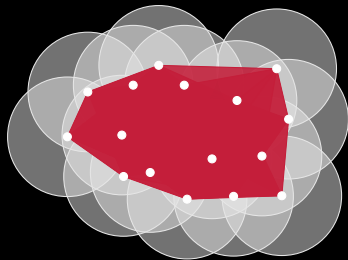
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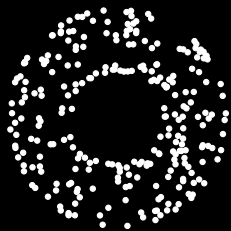
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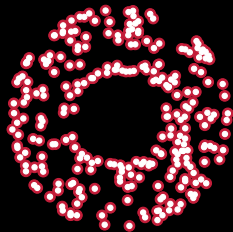
# Persistent homology

Calculate simplicial complexes for *every* value of  $\epsilon$ , while watching how topological features change. Assign each feature a duration, depending on ‘when’ it was created and ‘when’ it was destroyed. Store these features in a *persistence diagram*.



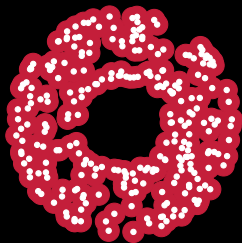
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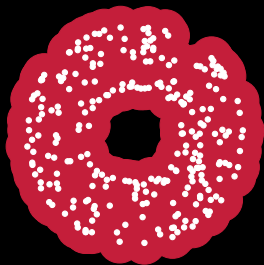
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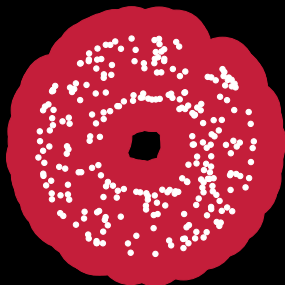
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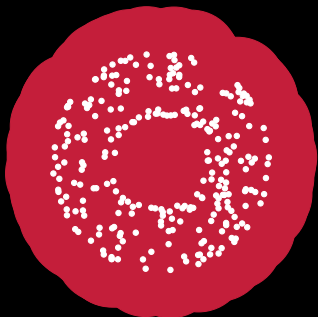
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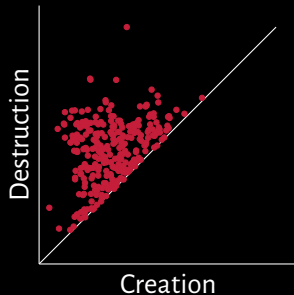
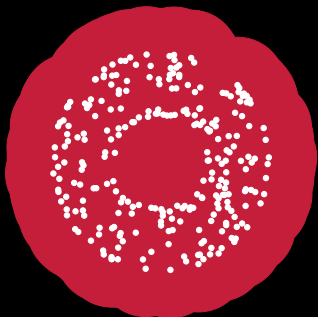
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# Distances between persistence diagrams

## Bottleneck distance

Given two persistence diagrams  $\mathcal{D}$  and  $\mathcal{D}'$ , their *bottleneck* distance is defined as

$$W_\infty(\mathcal{D}, \mathcal{D}') := \inf_{\eta: \mathcal{D} \rightarrow \mathcal{D}'} \sup_{x \in \mathcal{D}} \|x - \eta(x)\|_\infty,$$

where  $\eta: \mathcal{D} \rightarrow \mathcal{D}'$  denotes a bijection between the point sets of  $\mathcal{D}$  and  $\mathcal{D}'$  and  $\|\cdot\|_\infty$  refers to the  $L_\infty$  distance between two points in  $\mathbb{R}^2$ .

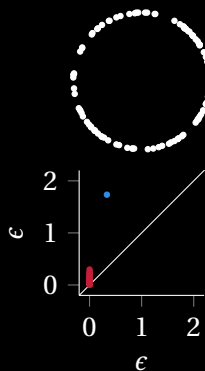
## Wasserstein distance

$$W_p(\mathcal{D}_1, \mathcal{D}_2) := \left( \inf_{\eta: \mathcal{D}_1 \rightarrow \mathcal{D}_2} \sum_{x \in \mathcal{D}_1} \|x - \eta(x)\|_\infty^p \right)^{\frac{1}{p}}$$

# Stability theorem

Robustness to *small-scale* perturbations

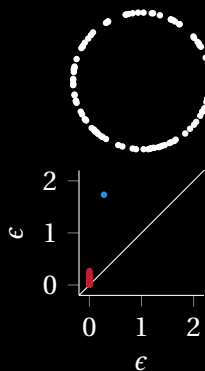
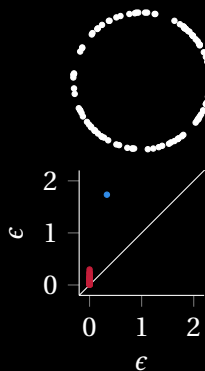
Let  $\mathbb{M}$  be a triangulable space with continuous tame functions  $f, g: \mathbb{M} \rightarrow \mathbb{R}$ . Then the corresponding persistence diagrams satisfy  $W_\infty(\mathcal{D}_f, \mathcal{D}_g) \leq \|f - g\|_\infty$ .



# Stability theorem

Robustness to *small-scale* perturbations

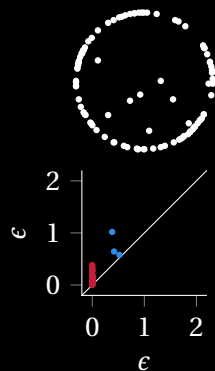
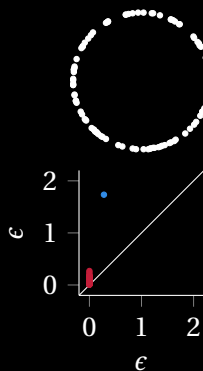
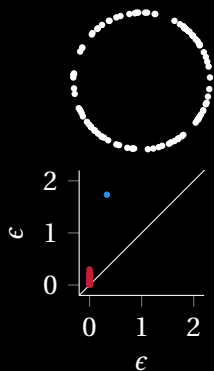
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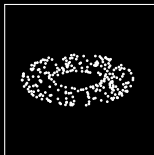
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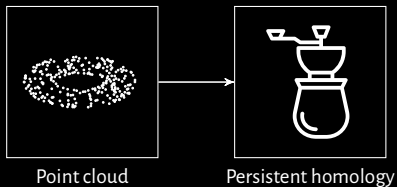


# A pipeline for topological machine learning



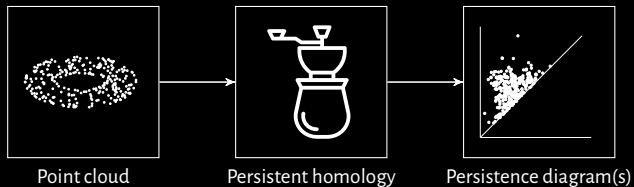
Point cloud

# A pipeline for topological machine learning

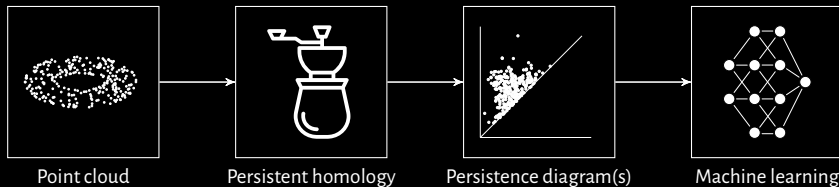




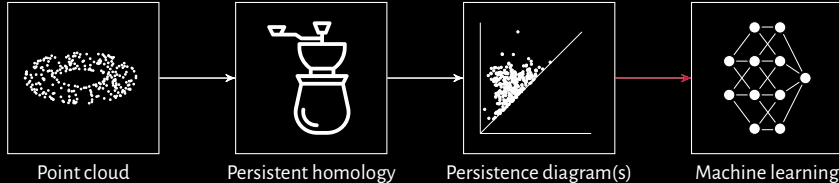
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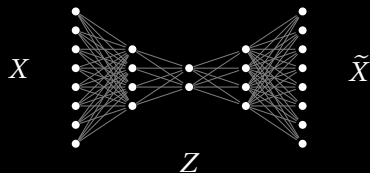
# A pipeline for topological machine learning



- ☆ A. Poulenard, P. Skraba and M. Ovsjanikov, 'Topological Function Optimization for Continuous Shape Matching', *Computer Graphics Forum*, 2018.
- ☆ M. Moor<sup>\*</sup>, M. Horn<sup>\*</sup>, **B. Rieck**<sup>†</sup> and K. Borgwardt<sup>†</sup>, 'Topological Autoencoders', *Proceedings of the 37th International Conference on Machine Learning (ICML)*, 2020, arXiv: 1906.00722 [cs.LG].
- ☆ M. Carrière, F. Chazal, M. Glisse, Y. Ike, H. Kannan and Y. Umeda, 'Optimizing persistent homology based functions', *Proceedings of the 38th International Conference on Machine Learning (ICML)*, 2021.

A very brief introduction to some machine learning techniques for representation learning

# Autoencoders



## Terminology

- ☆  $X \in \mathbb{R}^D$ : input data
- ☆  $Z \in \mathbb{R}^d$ : latent representation
- ☆  $\tilde{X} \in \mathbb{R}^D$ : reconstructed data

## Properties

- ☆ Typically,  $D \gg d$ .
- ☆ Use loss function  $\mathcal{L}(X, \tilde{X})$  to measure quality of reconstruction.

# Why autoencoders?

- ☆ Encoder–decoder architecture (we learn the *identity* function).
- ☆ ‘Middle’ layer serves as *bottleneck* or *latent representation*.
- ☆ Latent representations can be used for visualisation in lower dimensions, interpolating between data, clustering, and many other tasks.

# A simple autoencoder

- ☆ Encoder: linear transformation  $\mathbb{R}^D \rightarrow \mathbb{R}^2$
- ☆ Decoder: linear transformation  $\mathbb{R}^2 \rightarrow \mathbb{R}^D$
- ☆ Loss function: mean squared error,  $\mathcal{L}(X, \tilde{X}) := \|X - \tilde{X}\|_2^2$

# A simple autoencoder

Some reconstructions



0 epochs



# A simple autoencoder

Some reconstructions



10 epochs

# A simple autoencoder

Some reconstructions



20 epochs

# A simple autoencoder

Some reconstructions



30 epochs

# A simple autoencoder

Some reconstructions



40 epochs

# A simple autoencoder

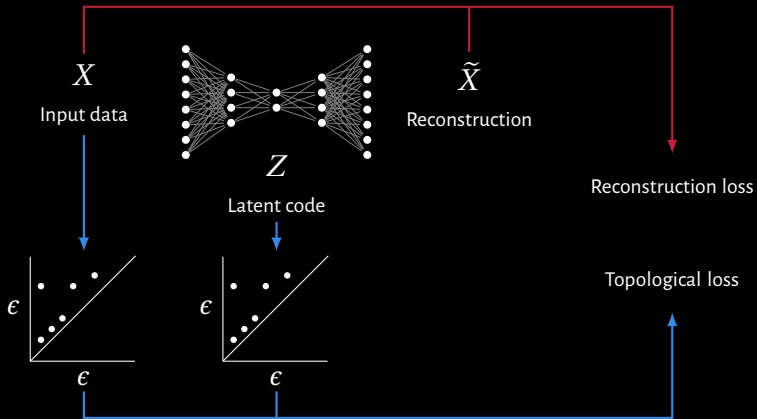
Some reconstructions



50 epochs

# Topological autoencoders

## Overview



# Topological autoencoders

## Gradient calculation intuition

Suppose we calculate a Vietoris–Rips complex based on some distances  $\mathbf{A}$ :

$$\begin{bmatrix} 0 & 1 & 9 & 10 \\ 1 & 0 & 7 & 8 \\ 9 & 7 & 0 & 3 \\ 10 & 8 & 3 & 0 \end{bmatrix}$$

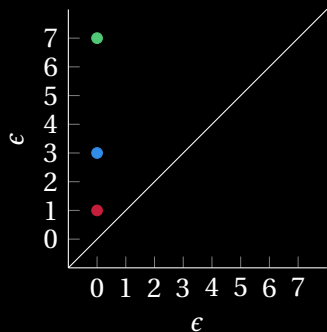
Every point in the persistence diagram can be mapped to *one* distance in the distance matrix! The diagram changes continuously as a function of this matrix.

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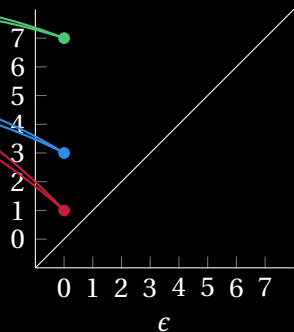
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# Topological autoencoders

Loss term

$$\mathcal{L}_t := \mathcal{L}_{\mathcal{X} \rightarrow \mathcal{Z}} + \mathcal{L}_{\mathcal{Z} \rightarrow \mathcal{X}}$$

$$\mathcal{L}_{\mathcal{X} \rightarrow \mathcal{Z}} := \frac{1}{2} \left\| \mathbf{A}^{\mathcal{X}}[\pi^{\mathcal{X}}] - \mathbf{A}^{\mathcal{Z}}[\pi^{\mathcal{X}}] \right\|^2$$

$$\mathcal{L}_{\mathcal{Z} \rightarrow \mathcal{X}} := \frac{1}{2} \left\| \mathbf{A}^{\mathcal{Z}}[\pi^{\mathcal{Z}}] - \mathbf{A}^{\mathcal{X}}[\pi^{\mathcal{Z}}] \right\|^2$$

- ☆  $\mathcal{X}$ : input space
- ☆  $\mathcal{Z}$ : latent space
- ☆  $\mathbf{A}^{\mathcal{X}}$ : distances in input mini-batch
- ☆  $\mathbf{A}^{\mathcal{Z}}$ : distances in latent mini-batch
- ☆  $\pi^{\mathcal{X}}$ : persistence pairing of input mini-batch
- ☆  $\pi^{\mathcal{Z}}$ : persistence pairing of latent mini-batch

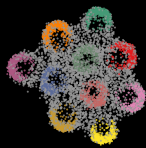
The loss is *bi-directional*!

# Qualitative evaluation

'Spheres' data set



PCA



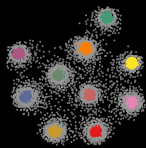
UMAP



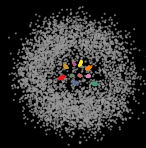
Autoencoder



Isomap



t-SNE



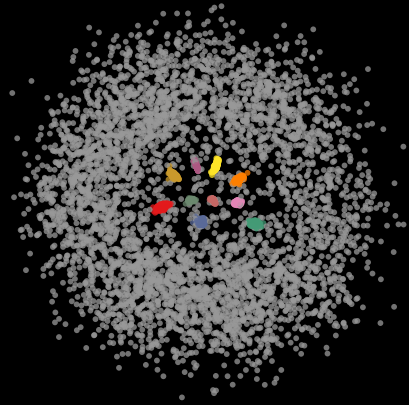
Topological autoencoder

# Qualitative evaluation

'Spheres' data set; zooming in...



Autoencoder



Topological autoencoder

# A new evaluation metric

Use *distance to a measure* density estimator, i.e.

$$f_{\sigma}^{\mathcal{X}}(x) := \sum_{y \in \mathcal{X}} \exp(-\sigma^{-1} \text{dist}(x, y)^2),$$

where  $\text{dist}$  denotes a metric such as the Euclidean distance. This is well-defined on mini-batches and on the full input data set.

Given  $\sigma$ , we evaluate  $\text{KL}_{\sigma} := \text{KL}(f_{\sigma}^{\mathcal{X}} \parallel f_{\sigma}^{\mathcal{Z}})$ , which measures the similarity between the two density distributions.

# Quantitative evaluation

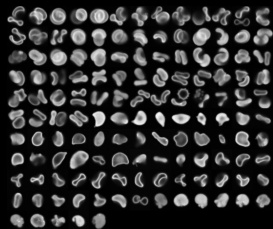
Method	$KL_{0.01}$	$KL_{0.1}$	$KL_1$	$\ell$ -MRRE	$\ell$ -Cont	$\ell$ -Trust	$\ell$ -RMSE	MSE (data)
Isomap	0.181	0.420	0.008 81	0.246	0.790	0.676	10.4	
PCA	0.332	0.651	0.015 30	0.294	0.747	0.626	11.8	0.9610
t-SNE	0.152	0.527	0.012 71	<b>0.217</b>	0.773	<b>0.679</b>	<b>8.1</b>	
UMAP	0.157	0.613	0.016 58	0.250	0.752	0.635	9.3	
AE	0.566	0.746	0.016 64	0.349	0.607	0.588	13.3	<b>0.8155</b>
TopoAE	<b>0.085</b>	<b>0.326</b>	<b>0.006 94</b>	0.272	<b>0.822</b>	0.658	13.5	0.8681

Application: Predicting the Shape of Cells

# Cell shape prediction

- ☆ Use confocal fluorescence microscopy to obtain images of cells.
- ☆ What is the 3D shape of a cell?
- ☆ Morphological analysis is crucial for certain pathologies!

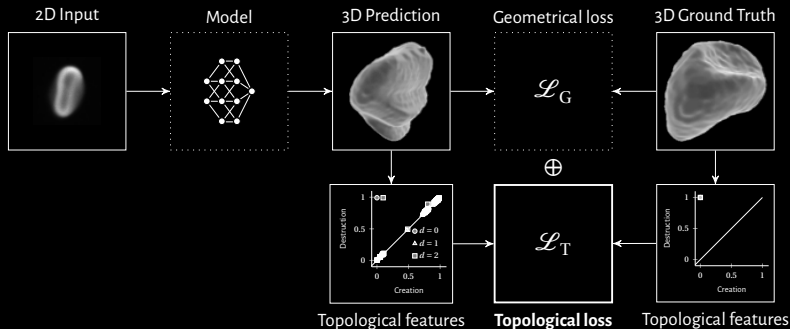
*When used properly, RBC [red blood cell] morphology can be a **key tool** for laboratory hematology professionals to recommend appropriate clinical and laboratory follow-up and to select the best tests for definitive diagnosis. (J. Ford, 'Red blood cell morphology', International Journal of Laboratory Hematology, 2013. )*





# SHAPR

## Overview

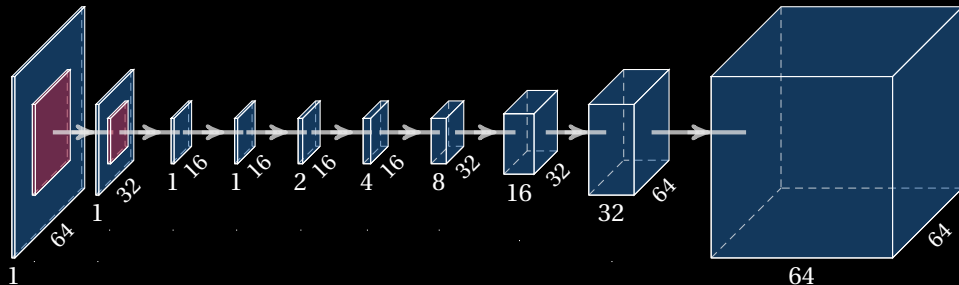


We are trying to solve a complicated *inverse problem*, going from 2D to 3D. This is an ill-defined problem with a large number of potential solutions.

D. J. E. Waibel, S. Atwell, M. Meier, C. Marr and **B. Rieck**, 'Capturing Shape Information with Multi-Scale Topological Loss Terms for 3D Reconstruction', *Medical Image Computing and Computer Assisted Intervention (MICCAI)*, 2022, arXiv: 2203.01703 [cs.CV], in press.

# SHAPR

## Architecture



We are learning a *likelihood function*  $f: \mathbb{R}^3 \rightarrow \mathbb{R}$ . Formally,  $f$  'lives' on a voxel grid, assigning each voxel  $x$  a value that indicates the likelihood of  $x$  being part of the 'true' volume.

# SHAPR

Loss function

$$\mathcal{L}_G(f, f') := \frac{2 \mathcal{L}_{\text{Dice}}(f, f') + \mathcal{L}_{\text{BCE}}(f, f')}{2}$$
$$\mathcal{L}_{\text{Dice}}(f, f') := \frac{2|\text{Vol}_f \cap \text{Vol}_{f'}|}{|\text{Vol}_f| + |\text{Vol}_{f'}|} = \frac{2\text{TP}}{2\text{TP} + \text{FP} + \text{FN}}$$

## Intuition

Compare *geometry* of the resulting volumes on a per-voxel basis. Is the reconstructed volume well-aligned with the ground truth one?

# SHAPR goes topological

$$\mathcal{L}_T(f, f', q) := \sum_{i=0}^d W_q(\mathcal{D}_f^{(i)}, \mathcal{D}_{f'}^{(i)}) + \text{pers}(\mathcal{D}_{f'}^{(i)})$$

# SHAPR goes topological

$$\mathcal{L}_T(f, f', q) := \sum_{i=0}^d W_q(\mathcal{D}_f^{(i)}, \mathcal{D}_{f'}^{(i)}) + \text{pers}(\mathcal{D}_{f'}^{(i)})$$

## Loss components

- ☆ Aligning the ground truth likelihood  $f$  and the predicted likelihood function  $f'$ .
- ☆ Reducing the geometrical–topological variation of the predicted likelihood function  $f'$ .

# SHAPR goes topological

$$\mathcal{L}_\top(f, f', q) := \sum_{i=0}^d W_q(\mathcal{D}_f^{(i)}, \mathcal{D}_{f'}^{(i)}) + \text{pers}(\mathcal{D}_{f'}^{(i)})$$

## Loss components

- ☆ Aligning the ground truth likelihood  $f$  and the predicted likelihood function  $f'$ .
- ☆ Reducing the geometrical–topological variation of the predicted likelihood function  $f'$ .

We obtain a *combined loss* by choosing  $\lambda \in \mathbb{R}_{>0}$  and calculating:

$$\mathcal{L} := \mathcal{L}_G + \lambda \mathcal{L}_\top$$

# Quantitative results

Metric	$\mathcal{L}_T$	Red blood cell ( $n = 825$ )		Nuclei ( $n = 887$ )	
		Median	$\mu \pm \sigma$	Median	$\mu \pm \sigma$
1-IoU	$\times$	0.48	$0.49 \pm 0.12$	0.62	$0.62 \pm 0.11$
	$\checkmark$	<b>0.46</b>	<b><math>0.47 \pm 0.10</math></b>	<b>0.61</b>	<b><math>0.61 \pm 0.11</math></b>
Volume	$\times$	0.31	$0.35 \pm 0.31$	0.34	$0.48 \pm 0.47$
	$\checkmark$	<b>0.21</b>	<b><math>0.25 \pm 0.24</math></b>	<b>0.32</b>	<b><math>0.43 \pm 0.42</math></b>
Surface area	$\times$	0.20	$0.24 \pm 0.20$	0.21	$0.27 \pm 0.25$
	$\checkmark$	<b>0.13</b>	<b><math>0.18 \pm 0.16</math></b>	<b>0.18</b>	<b><math>0.25 \pm 0.24</math></b>
Surface roughness	$\times$	0.35	$0.36 \pm 0.24$	<b>0.17</b>	<b><math>0.18 \pm 0.12</math></b>
	$\checkmark$	<b>0.24</b>	<b><math>0.29 \pm 0.22</math></b>	0.18	$0.19 \pm 0.13$

# Summary

- ☆ Topology can provide useful inductive biases for shape reconstruction tasks.
- ☆ Persistence diagrams encode geometrical *and* topological properties of data.
- ☆ Integration into ‘standard’ machine learning models is possible!

## Publications

- ☆ F. Hensel, M. Moor and **B. Rieck**, ‘A Survey of Topological Machine Learning Methods’, *Frontiers in Artificial Intelligence*, 2021.
- ☆ M. Moor<sup>\*</sup>, M. Horn<sup>\*</sup>, **B. Rieck**<sup>†</sup> and K. Borgwardt<sup>†</sup>, ‘Topological Autoencoders’, *Proceedings of the 37th International Conference on Machine Learning (ICML)*, 2020, arXiv: 1906.00722 [cs.LG].
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## Software

<https://github.com/aidos-lab/pytorch-topological>

## ♥ Acknowledgements

My co-authors, in particular Carsten, Dominik, Felix, Karsten, Max, and Michael.